

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Carr, et al.

Serial No.: 09/518,813

Filed: March 3, 2000

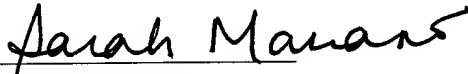
For: Methods for Protein Screening



Group Art Unit: 1643

**CERTIFICATE OF FIRST CLASS MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, DC 20231 on June 28, 2000.

  
Sarah Marciano

Attn: Customer Service Center  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**SUPPLEMENTAL REQUEST FOR CORRECTION OF THE OFFICIAL FILING RECEIPT**

Applicants notify the U.S. Patent and Trademark Office of the error in the Official Filing Receipt and hereby request correction. A copy of the Official Filing Receipt is attached, with the error noted and corrected. The correction should be as follows:

1. The Foreign Applications section should be corrected as follows:

"United Kingdom 9720524.9 filed 9/29/97"

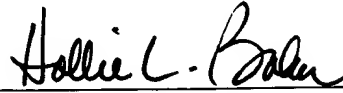
We have enclosed a copy of the Transmittal Letter as filed on March 3, 2000 with the correct information highlighted.

Applicants respectfully request issuance of a corrected Filing Receipt.

Application Serial No. 09/518,813  
Filed: March 3, 2000

The Commissioner is authorized to debit any fee or credit any overpayment to our Deposit Account  
No. 08-0219.

Respectfully submitted,



Hollie L. Baker  
Registration No. 31,321

June 28, 2000

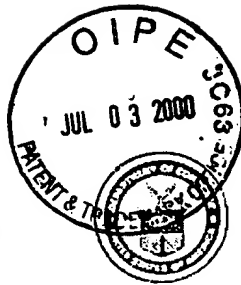
HALE AND DORR LLP  
60 State Street  
Boston, MA 02109  
(617) 526-6110 - phone  
(617) 526-5000 - fax

h:\baker\_hollie\legal\kilburn-fl\102286.412\documents\suppcorrfilec.doc

## CORRECTED FILING RECEIPT



\*OC00000005170875\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT<br>CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|---------------|------------|
| 09/518,813         | 03/03/2000  | 1643         | 1902          | 102286.412      | -        | 57            | 10         |

Hale and Dorr L L P  
60 State Street  
Boston, MA 02109

Date Mailed: 06/12/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Carr Franis Joseph, Aberdeen, UNITED KINGDOM;  
Carter Graham, Aberdeen, UNITED KINGDOM;  
Anne Anita Hamilton, Aberdeen, UNITED KINGDOM;  
Fiona Suzanne Adair, Aberdeen, UNITED KINGDOM;  
Stephen Williams, Aberdeen, UNITED KINGDOM;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF PCT/GB98/02649 09/03/1998  
WHICH CLAIMS BENEFIT OF 60/070,063 12/30/1997  
WHICH CLAIMS BENEFIT OF 60/070,062 12/30/1997  
WHICH CLAIMS BENEFIT OF 60/070,037 12/30/1997  
WHICH CLAIMS BENEFIT OF 60/070,050 12/30/1997

## Foreign Applications

UNITED KINGDOM 9718552.4 09/03/1997  
UNITED KINGDOM 9719834.5 09/18/1997  
UNITED KINGDOM 9720184.2 09/14/1997  
UNITED KINGDOM 9720522.3 09/29/1997  
UNITED KINGDOM GB9720523.1 09/29/1997  
UNITED KINGDOM 9801255.2 01/22/1998  
UNITED KINGDOM 9803828.4 02/25/1998  
~~UNITED KINGDOM 97052409 09/29/1997~~  
UNITED KINGDOM 9807760.5 04/14/1998  
UNITED KINGDOM 9811130.5 05/23/1998  
UNITED KINGDOM 970525.6 09/29/1997

UNITED KINGDOM 9720524.9 filed 9/29/97

If Required, Foreign Filing License Granted 04/27/2000

Title

Methods for protein screening

Preliminary Class

435



Data entry by : JOHNSON, TRACEY

Team : OIPE

Date: 06/12/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



Bib Data Sheet


**UNITED STATES DEPARTMENT OF  
COMMERCE**  
**Patent and Trademark Office**

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231
**FILE COPY**

|                                    |   |                     |                               |   |
|------------------------------------|---|---------------------|-------------------------------|---|
| <b>SERIAL NUMBER</b><br>09/518,813 | <b>FILING DATE</b><br>03/03/2000<br><b>RULE</b> - | <b>CLASS</b><br>435 | <b>GROUP ART UNIT</b><br>1643 | <b>ATTORNEY<br/>DOCKET NO.</b><br>102286412 |
|------------------------------------|---|---------------------|-------------------------------|---|

**APPLICANTS**

Carr Franis Joseph, Aberdeen, UNITED KINGDOM;  
 Carter Graham, Aberdeen, UNITED KINGDOM;  
 Anne Anita Hamilton, Aberdeen, UNITED KINGDOM;  
 Fiona Suzanne Adair, Aberdeen, UNITED KINGDOM;  
 Stephen Williams, Aberdeen, UNITED KINGDOM;

**RECEIVED**  
 AUG 02 2000  
 TECH CENTER 1600/2900
**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CON OF PCT/GB98/02649 09/03/1998  
 WHICH CLAIMS BENEFIT OF 60/070,063 12/30/1997  
 WHICH CLAIMS BENEFIT OF 60/070,062 12/30/1997  
 WHICH CLAIMS BENEFIT OF 60/070,037 12/30/1997  
 WHICH CLAIMS BENEFIT OF 60/070,050 12/30/1997

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

UNITED KINGDOM 9718552.4 09/03/1997  
 UNITED KINGDOM 9719834.5 09/18/1997  
 UNITED KINGDOM 9720184.2 09/14/1997  
 UNITED KINGDOM 9720522.3 09/29/1997  
 UNITED KINGDOM 9720523.1 09/29/1997  
 UNITED KINGDOM 9801255.2 01/22/1998  
 UNITED KINGDOM 9803828.4 02/25/1998  
 UNITED KINGDOM 9720524.9 09/29/1997  
 UNITED KINGDOM 9807760.5 04/14/1998  
 UNITED KINGDOM 9811130.5 05/23/1998  
 UNITED KINGDOM 970525.6 09/29/1997

**IF REQUIRED, FOREIGN FILING LICENSE**

GRANTED \*\* 04/27/2000 -

|  |  |                                |                               |                                     |
|--|--|--------------------------------|-------------------------------|-------------------------------------|
| Foreign Priority claimed<br><input type="checkbox"/> yes <input type="checkbox"/> no   | <b>STATE OR<br/>COUNTRY<br/>UNITED<br/>KINGDOM</b> | <b>SHEETS<br/>DRAWING</b><br>- | <b>TOTAL<br/>CLAIMS</b><br>57 | <b>INDEPENDENT<br/>CLAIMS</b><br>10 |
| 35 USC 119 (a-d) conditions met<br><input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance |  |                                |                               |                                     |
| Verified and Acknowledged<br>Examiner's Signature _____ Initials _____   |  |                                |                               |                                     |

**ADDRESS**

Hale and Dorr L L P  
 60 State Street  
 Boston ,MA 02109

**TITLE**

Methods for protein screening

|   |   |   |
|---|---|---|
| <b>FILING FEE<br/>RECEIVED<br/>1902</b> | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: | <input type="checkbox"/> All Fees                                 |
|   |   | <input type="checkbox"/> 1.16 Fees ( Filing )                     |
|   |   | <input type="checkbox"/> 1.17 Fees ( Processing Ext. of<br>time ) |
|   |   | <input type="checkbox"/> 1.18 Fees ( Issue )                      |
|   |   | <input type="checkbox"/> Other _____                              |
|   |   | <input type="checkbox"/> Credit                                   |

**RECEIVED**

AUG 02 2000

TEL: CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

CARR, Francis Joseph  
CARTER, Graham  
HAMILTON, Anita Adair  
ADAIR, Fiona Suzanne  
WILLIAMS, Stephen



Serial No.:

To Be Assigned  
(Continuation Application of PCT/GB98/02649)  
(Published as WO 99/11777)

Filed:

Herewith (March 3, 2000)

Title:

Methods for Protein Screening

Attorney Docket No.:

102286.412

TRANSMITTAL LETTER

EXPRESS MAIL LABEL NO.: EL361737424US  
March 3, 2000

Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTN: BOX PATENT APPLICATION

Dear Sir:

The following documents are submitted to file a continuation application of an international application under 37 C.F.R. §1.53(b) and 35 U.S.C. §111 and MPEP §1895.01 to obtain the PCT filing date as the effective date under 35 U.S.C. §102(e) by virtue of 35 U.S.C. §363.

The named inventors are:

CARR, Francis Joseph  
Citizenship: United Kingdom  
Residence: Aberdeen, United Kingdom

CARTER, Graham  
Citizenship: United Kingdom  
Residence: Aberdeen, United Kingdom

HAMILTON, Anita Anne  
Citizenship: United Kingdom  
Residence: Aberdeen, United Kingdom

Applicants: CARR et al.  
Serial No.: To Be Assigned  
(Continuation Application of PCT/GB98/02649)  
(Published as WO 99/11777)  
Filed: March 3, 2000

ADAIR, Fiona Suzanne  
Citizenship: United Kingdom  
Residence: Aberdeen, United Kingdom

WILLIAMS, Stephen  
Citizenship: United Kingdom  
Residence: Aberdeen, United Kingdom

Also enclosed are the following documents:

1. Request for Filing a Continuation of an International Application.
2. The specification (104 pages), claims (9 pages, pages 105-113).
3. Preliminary Amendment.
4. Abstract of the Invention.
5. Stamped, return postcard.

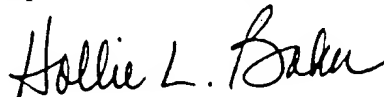
Priority Information: This application is a continuation of international application number PCT/GB98/02649, filed September 3, 1998, pending, claiming priority to GB 9718552.4, filed September 3, 1997, in Great Britain; GB 9719834.5, filed September 18, 1997, in Great Britain; GB 9720184.2, filed September 24, 1997, in Great Britain; GB 9720522.3, filed September 29, 1997, in Great Britain; GB 9720525.6, filed September 29, 1997, in Great Britain; GB 9720523.1, filed September 29, 1997, in Great Britain; GB9720524.9, filed September 29, 1997, in Great Britain; US 60/070,063, filed December 30, 1997, in the United States; US 60/070,062, filed December 30, 1997, in the United States; US 60/070,037, filed December 30, 1997, in the United States; US 60/070,050, filed December 30, 1997, in the United States; GB 9801255.2, filed January 22, 1998, in Great Britain; GB 9803828.4, filed February 25, 1998, in Great Britain; GB 9807760.5, filed April 14, 1998, in Great Britain; and GB 9811130.5, filed May 23, 1998, in Great Britain.



Applicants: CARR et al.  
Serial No.: To Be Assigned  
(Continuation Application of PCT/GB98/02649)  
(Published as WO 99/11777)  
Filed: March 3, 2000

The Commissioner is authorized to debit the patent application filing fee of \$1310.00 from Deposit Account No. 08-0219. Further, the Commissioner is authorized to debit any fee or credit any overpayment to maintain the pendency of this application to Deposit Account No. 08-0219.

Respectfully submitted,



Hollie L. Baker  
Registration No. 31,321

Date: March 3, 2000  
HALE AND DORR LLP  
60 State Street  
Boston, MA 02109  
Telephone: 617-526-6110  
FAX: 617- 526-5000

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